



5 April 2022

**Market Announcements office  
ASX Limited**

## **TERMINATION OF SELFWEATH SMSF LEADERS ETF (SELF)**

ETFS Management (AUS) Limited ACN 150 433 828 (**ETFS**), as responsible entity for the SelfWealth SMSF Leaders ETF (**SELF** or **Fund**) announces that ETFS' board of directors have resolved to terminate the Fund (**Termination**), effective after the close of trading on 5 May 2022 (**Last Trading Day**). As a result of the Termination, Units in the Fund will be suspended from quotation on the AQUA market of the Australian Securities Exchange (**ASX**) and removed from trading status after the close of trading on the Last Trading Day and wound up in accordance with Part 5C.9 of the Corporations Act and the Fund's constitution.

The decision to terminate the Fund has been driven by several reasons, including that:

1. the Fund has not reached sufficient size to be cost effective for investors relative to other Australian equity ETFs; and
2. due to limited secondary market trading in the Fund, average bid-offer spreads are higher than for comparable Australian equity ETFs.

Having considered the alternatives, ETFS has resolved that termination of the Fund is in the best interests of investors as a whole.

ETFS has decided to provide investors with 20 trading days' notice of its intention to terminate the Fund. This communication outlines the steps that will be taken to affect the Termination of the Fund and seeks to inform investors as to what action they may take.

## **Important dates**

Set out below are a summary of the key dates relating to the Termination.

Last day for dealings in the Units in the Fund and last day for redemption of Units in the Fund by Authorised Participants (the <b>Last Trading Day</b> ).	5 May 2022
The date at which termination will commence ( <b>Termination Commencement Date</b> ).	5 May 2022
Ex-date for distribution of income for the period after 1 April 2022,	6 May 2022
The date at which an investor needs to be recorded as the beneficial owner of Units in the Fund in the Clearing	9 May 2022

House Electronic Subregister System (CHESS) (the <b>Termination Record Date</b> ).	
Final distribution to be paid to investors holding Units on the Termination Record Date (the <b>Termination Payment Date</b> ).	23 May 2022

The dates above are subject to change. Any change will be announced to the market via the ASX.

## How will the termination of the Fund affect investor's Units?

As a Holder of Units, investors will have several choices as to the action they can take in response to this communication. In any event Units will not be able to be traded on the ASX after the Last Trading Day. Investors should consult their financial adviser to ensure that they understand the implications, including the costs and risks, of their choice.

As a Holder of Units, investors may either;

1. sell their Units on the ASX in the ordinary way via their broker prior to the close of trading on the Last Trading Day. ETFS expects that the market makers will continue to make a market for Units until 5 May 2022;
2. redeem their Units with the Issuer in the ordinary way, if they are an Authorised Participant, prior to the close of trading on the Last Trading Day, or
3. hold their Units until the Termination of the Fund and receive a pro-rata share of the proceeds of winding up.

If an investor chooses to sell their Units on the ASX, they will incur brokerage costs and any spread costs as they normally would when transacting on the ASX. Similarly, if an investor is an Authorised Participant and choose to redeem their Units with the Issuer, they will incur the costs associated with a redemption as set out in section 6.4 of the PDS for the Fund. Investors deciding to sell their Units are able to have regard to the prevailing price of the underlying securities and their Units at the relevant time.

Performance information for both the Fund and the benchmark Index, as well as the full portfolio holdings of the Fund are published daily on [www.etfsecurities.com.au](http://www.etfsecurities.com.au).

Where an investor holds their Units until Termination, they will receive a distribution of income for the period after 1 April 2022, together with an amount in Australian dollars equal to the net asset value per Unit of the Fund on the day the assets of the Fund are sold (which is expected to be the Last Trading Day), multiplied by the number of Units they hold. ETFS will bear all transaction costs associated with the liquidation of the assets of the Fund. Investors should be aware that the price of the underlying securities may change and the net asset value per Unit of the Fund may change between the date of this communication and the completion of Termination.

## How and when will I receive payment following the Termination?

Following Termination, the assets of the Fund will be sold and the proceeds of winding up will be distributed to investors. If an investor holds Units on the Termination Record Date, they will be entitled to receive a pro-rata share of the proceeds of winding up, which is expected to be paid to their

nominated bank account on the Termination Payment Date. ETFS will bear the costs associated with selling the assets of the Fund and winding up the fund. These costs will not be borne by investors.

To verify or amend a nominated bank account, investors may contact Computershare Investor Services Pty Limited (the **Registrar**) either by phone on 1300 382 656 (or on +61 3 9415 4339 if calling from outside Australia) or by registering for an 'Investor Centre' account at [www-au.computershare.com/investor](http://www-au.computershare.com/investor).

## Contact

For any enquiries on this matter, please contact ETFS on +61 2 8311 3488 or at [infoAU@etfsecurities.com.au](mailto:infoAU@etfsecurities.com.au).

The content of this letter has been prepared without taking into account investors objectives, financial situation or needs. Investors should consider the appropriateness of any decision they make in response to this notice, having regard to their objectives, financial situation and needs.

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